

# Nuclear mean field theory and collective phenomena

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## Outline

Introduction

Quadrupole variables

Collective Hamiltonian

Mean field theory

Applications

## Introduction. Collective phenomena

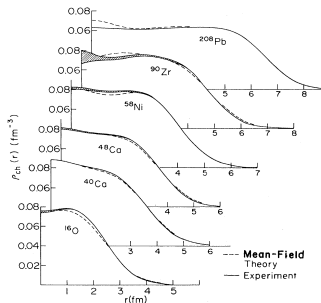
- ▶ Collective vs one-particle phenomena
- ▶ Translational motion, rotations, fission, giant resonances, **changes of shape (deformation) of a nucleus**
- ▶ Collective variables (not too many), collective Hamiltonian
- ▶ Other fields of physics (condensed matter, plasma etc)

## Nuclear matter distribution, shape of a nucleus

### Mass density distribution

$$\rho_{\text{mass}}(\mathbf{r}) = \langle \Psi(\mathbf{r}_1, \dots, \mathbf{r}_A) | \sum_i \delta(\mathbf{r} - \mathbf{r}_i) | \Psi(\mathbf{r}_1, \dots, \mathbf{r}_A) \rangle$$

### Sum over protons for the charge distribution



### Closed shells, spherical nuclei

## Multipole moments of density distribution. Quadrupole variables

### Multipole moments

$$q_{lm} = \int \rho(\mathbf{r}) r^l Y_{lm}(\theta, \phi) d^3\mathbf{r} = \langle \Psi(\mathbf{r}_1, \dots, \mathbf{r}_A) | \sum_i r_i^l Y_{lm}(\theta_i, \phi_i) | \Psi(\mathbf{r}_1, \dots, \mathbf{r}_A) \rangle$$

- ▶  $q_{00} = A$
- ▶ only some  $l$ 's are taken into account, e.g.  $l = 2$  — quadrupole moments

$$q_{2m} = \langle \Psi | \sum_i r_i^2 Y_{2m}(\theta_i, \phi_i) | \Psi \rangle$$

- ▶  $q_{lm}$  — complex numbers (but  $q_{lm}^* = (-1)^m q_{l-m}$ ), depending on a reference frame, tensors of a rank  $l$  w.r.t. rotation group
- ▶ parity  $\pi = (-1)^l$

## Other types of quadrupole variables

### 1. Nuclear surface expansion

$$r(\theta, \phi, \alpha) = r_0(1 + \sum_{lm} a_{lm}^* Y_{lm}(\theta, \phi)) \longrightarrow r_0(1 + \sum_m a_{2m}^* Y_{2m}(\theta, \phi))$$

### 2. Ellipsoid (nuclear surface or one-particle potential), $x_i = x, y, z$

$$\sum_{k,j} w_{kj} x_k x_j = 1, \quad w_{kj} = w_{jk}$$

$$\xrightarrow{R(\Omega)} \frac{\tilde{x}^2}{v_x^2} + \frac{\tilde{y}^2}{v_y^2} + \frac{\tilde{z}^2}{v_z^2} = 1$$

## Principal axes (intrinsic) frame of reference

- ▶ Normalization

$$\alpha_m = cq_{2m}, \quad c = \sqrt{\pi/5/Ar^2}, \quad \bar{r}^2 = 3/5r_0^2A^{2/3}$$

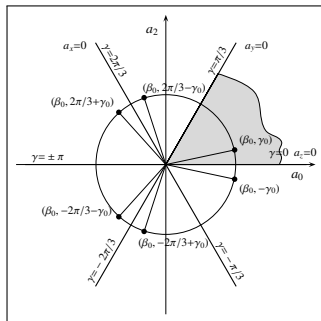
- ▶ Intrinsic frame

$$\{\alpha_m\} \xrightarrow{R(\Omega)} \{\tilde{\alpha}_0, \tilde{\alpha}_1 = \tilde{\alpha}_{-1} = 0, \tilde{\alpha}_2 = \tilde{\alpha}_{-2}\}$$

- ▶ Deformation variables  $\beta, \gamma$

$$\begin{aligned} \beta \cos \gamma &= \tilde{\alpha}_0 \\ \beta \sin \gamma &= \sqrt{2} \tilde{\alpha}_2 \end{aligned}$$

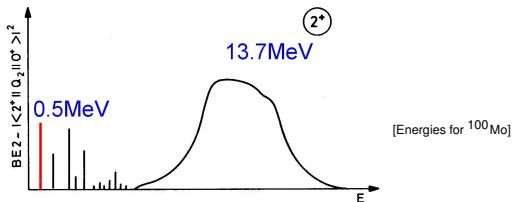
- ▶ Special case  $\tilde{\alpha}_2 = 0$  – axial symmetry
  - $\tilde{\alpha}_0 > 0$  — prolate (cigar-like) shape
  - $\tilde{\alpha}_0 < 0$  — oblate (disk-like) shape

$\beta, \gamma$  variables, cont.Symmetries on the  $(\alpha_0, \alpha_2) \rightarrow (\beta, \gamma)$  plane



## Experimental hints

- ▶ For almost all **even-even** nuclei the first excited state is  $2^+$
- ▶ Schematic spectrum of  $2^+$  excitation in  $^{100}\text{Mo}$



- ▶ Strength (reduced probability) of an electromagnetic transition

$$1/\tau_i \sim E_\gamma^{2L+1} B(EL; i, f)$$

For most nuclei  $B(E2)$  for  $2_1^+ \rightarrow \text{g.s.}$  is 30 – 200 Weisskopf units (single particle estimates)

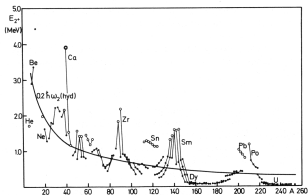
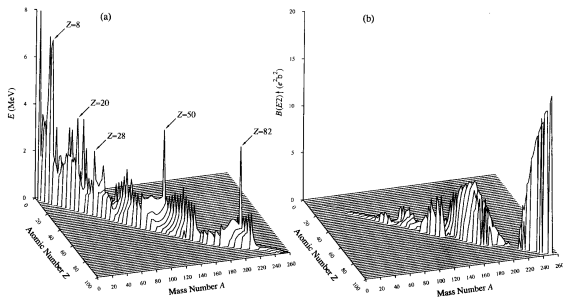
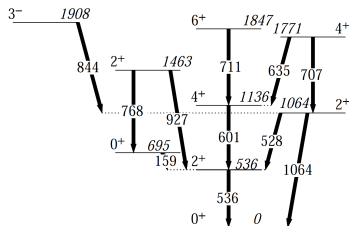
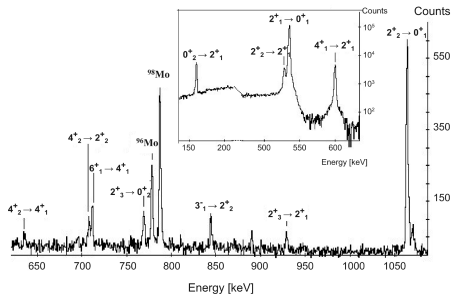
Experimental data on the  $2_1^+$  state

Figure 1.7. The energy of the first  $2_1^+$  state in even-even nuclei. The nuclei with closed neutron or proton shells are marked by open circles. (From [NN 65].)

## Example of results from experiments at HIL

 $^{100}\text{Mo}$ , Coulomb excitation with  $^{32}\text{S}$  beam

## Collective Hamiltonian. Kinetic energy

Classical kinetic energy

Laboratory frame

$$E_{\text{kin}} = \frac{1}{2} \sum_{mn} B_{mn}(\alpha) \dot{\alpha}_m \dot{\alpha}_n$$

Intrinsic frame

$$E_{\text{kin}} = T_{\text{vib}} + T_{\text{rot}} = \frac{1}{2} (B_{\beta\beta} \dot{\beta}^2 + 2B_{\beta\gamma} \beta \dot{\beta} \dot{\gamma} + B_{\gamma\gamma} \beta^2 \dot{\gamma}^2) + \sum_{k=1}^3 \frac{I_k^2}{2J_k}$$

$$B_{\beta\beta}(\beta, \gamma), \quad B_{\beta\gamma}(\beta, \gamma), \quad B_{\gamma\gamma}(\beta, \gamma), \quad J_k = 4\beta^2 B_k(\beta, \gamma) \sin^2(\gamma - 2\pi k/3), \quad k = 1, 2, 3$$

Quantization. Laplace-Beltrami operator

$$E_{\text{kin,quant}} = -\frac{\hbar^2}{2} \frac{1}{\sqrt{\det B}} \sum_{k,j} \frac{\partial}{\partial \alpha_k} \sqrt{\det B} (B^{-1})_{kj} \frac{\partial}{\partial \alpha_j}$$

Volume element (for scalar product in the Hilbert space)  $\sqrt{\det B} d\alpha_1 \dots d\alpha_2$

## Quantum Hamiltonian in the intrinsic frame

### General Bohr Hamiltonian (Aage Bohr)

$$H_{\text{Bohr}} = T_{\text{vib}} + T_{\text{rot}} + V$$

$$T_{\text{vib}} = -\frac{1}{2\sqrt{wr}} \left\{ \frac{1}{\beta^4} \left[ \partial_{\beta} \left( \beta^4 \sqrt{\frac{r}{w}} B_{\gamma\gamma} \right) \partial_{\beta} - \partial_{\beta} \left( \beta^3 \sqrt{\frac{r}{w}} B_{\beta\gamma} \right) \partial_{\gamma} \right] + \right. \\ \left. + \frac{1}{\beta \sin 3\gamma} \left[ -\partial_{\gamma} \left( \sqrt{\frac{r}{w}} \sin 3\gamma B_{\beta\gamma} \right) \partial_{\beta} + \frac{1}{\beta} \partial_{\gamma} \left( \sqrt{\frac{r}{w}} \sin 3\gamma B_{\beta\beta} \right) \partial_{\gamma} \right] \right\}$$

$$T_{\text{rot}} = \frac{1}{2} \sum_{k=1}^3 I_k^2(\Omega) / J_k; \quad J_k = 4B_k(\beta, \gamma) \beta^2 \sin^2(\gamma - 2\pi k/3)$$

$$w = B_{\beta\beta} B_{\gamma\gamma} - B_{\beta\gamma}^2; \quad r = B_x B_y B_z$$

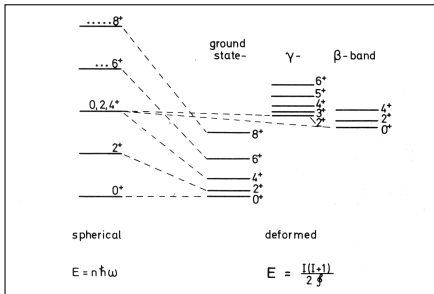
## Special cases

- ▶ "Simple" kinetic energy:  $B_{\beta\beta} = B_{\gamma\gamma} = B_k = B$ ,  $B_{\beta\gamma} = 0$

$$T_{\text{vib}} = -\frac{\hbar^2}{2B} \left[ \frac{1}{\beta^4} \partial_{\beta} \beta^4 \partial_{\beta} + \frac{1}{\beta^2 \sin 3\gamma} \partial_{\gamma} \sin 3\gamma \partial_{\gamma} \right]$$

Various potentials

- ▶ Harmonic oscillator:  $V = C\beta^2/2$ ,  $H_{\text{osc}} = \frac{B}{2}|\dot{\alpha}|^2 + \frac{C}{2}|\alpha|^2$ ,  $|\alpha|^2 = \sum_m \alpha_m \alpha_m^*$



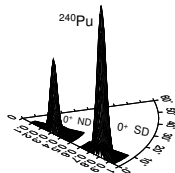
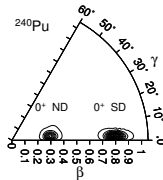
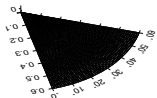
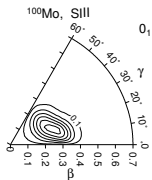
## Quantum Hamiltonian, cont.

- ▶ Energy levels
- ▶ Matrix elements of the E2 transition operator — halflives of levels, quadrupole moments
- ▶ Wave functions

$$\Psi_{IM\xi}^{(\text{coll})}(\beta, \gamma, \Omega) = \sum_{K=0(2), \text{even}}^{I \text{ or } I-1} F_{IK\xi}(\beta, \gamma) \phi_{MK}^I(\Omega)$$

- ▶ Probability distributions (of various shapes!)

$$p_{I\xi}(\beta, \gamma) = \sum_K |F_{IK\xi}(\beta, \gamma)|^2 \sqrt{wr} \beta^4 |\sin 3\gamma|$$



## Mean field, Hartree-Fock method

- ▶ Free (quasi)particles in an average potential
- ▶ Product wave function, Slater determinant  $\det |\phi_k(\mathbf{r}_k)|$ .
- ▶ Phenomenological potentials: harmonic oscillator, square well, deformed (anisotropic) harmonic oscillator (Nilsson potential), Woods-Saxon potential,...
- ▶ Variational principle
- ▶ Hartree-Fock equations

$$T\phi_k(\mathbf{r}) + \left( \int d^3r' V(\mathbf{r}, \mathbf{r}') \sum_k |\phi_j(\mathbf{r}')|^2 \right) \phi_k(\mathbf{r}) - \sum_j \phi_j(\mathbf{r}) \int d^3r' V(\mathbf{r}', \mathbf{r}) \phi_j^*(\mathbf{r}') \phi_k(\mathbf{r}') = e_k \phi_k(\mathbf{r})$$

- ▶ Effective nucleon-nucleon interactions
- ▶ Pairing correlations



## Hartree-Fock method, second quantization

- ▶ Microscopic Hamiltonian for a system of nucleons

$$\hat{H}_{\text{micr}} = \sum_{\mu,\nu} T_{\mu\nu} c_{\mu}^{\dagger} c_{\nu} + \frac{1}{4} \sum_{\mu,\nu,\alpha,\beta} \tilde{V}_{\mu\nu\alpha\beta} c_{\mu}^{\dagger} c_{\nu}^{\dagger} c_{\beta} c_{\alpha}$$

$$\Psi_{\text{HF}} = \prod_k d_k^{\dagger} |0\rangle$$

- ▶ Hartree-Fock equations

$$[H_{\text{mf}}(\rho), \rho] = 0$$

Mean field Hamiltonian

$$H_{\text{mf}} = \sum_{\mu,\nu} (T_{\mu\nu} + \Gamma_{\mu\nu}) c_{\mu}^{\dagger} c_{\nu}$$

$$\Gamma_{\mu\nu} = \sum_{\mu',\nu'} \tilde{V}_{\mu\mu'\nu\nu'} \rho_{\nu'\mu'}$$

$$\rho_{\mu\nu} = \langle \Psi_{\text{HF}} | c_{\nu}^{\dagger} c_{\mu} | \Psi_{\text{HF}} \rangle$$

## Mean field, Hartree-Fock-Bogolyubov method

- ▶ Pairing correlations
- ▶ More general product state (BCS type), undetermined particle number (!)

$$\Psi_{\text{BCS}} = \prod_{\mu>0} (u_{\mu} + s_{\mu} v_{\bar{\mu}} c_{\bar{\mu}}^{\dagger} c_{\mu}^{\dagger}) |0\rangle$$

Quasiparticles

$$\alpha_{\mu}^{\dagger} = u_{\mu} c_{\mu}^{\dagger} + s_{\mu}^* v_{\bar{\mu}} c_{\bar{\mu}}$$

- ▶ Density matrix  $\mathcal{R}$

$$\mathcal{R} = \begin{pmatrix} \rho & \kappa \\ -\kappa^* & 1 - \rho^* \end{pmatrix} = \begin{pmatrix} \langle \Psi | c_{\nu}^{\dagger} c_{\mu} | \Psi \rangle & \langle \Psi | c_{\nu} c_{\mu} | \Psi \rangle \\ \langle \Psi | c_{\nu}^{\dagger} c_{\mu}^{\dagger} | \Psi \rangle & \langle \Psi | c_{\nu} c_{\mu}^{\dagger} | \Psi \rangle \end{pmatrix}$$

Canonical basis

$$\rho_{\mu\nu} = v_{\mu}^2 \delta_{\mu\nu} \quad \kappa_{\mu\nu} = s_{\bar{\mu}} u_{\mu} v_{\mu} \delta_{\bar{\mu}\nu}$$

## Hartree-Fock-Bogolyubov theory, cont.

- ▶ Hartree-Fock-Bogolyubov equations

$$[\mathcal{W}(\mathcal{R}), \mathcal{R}] = 0$$

- ▶ Mean field Hamiltonian

$$\mathcal{W}(\mathcal{R}) = \begin{pmatrix} T + \Gamma - \lambda I & \Delta \\ -\Delta^* & -T^* - \Gamma^* + \lambda I \end{pmatrix} = \begin{pmatrix} h_0 - \lambda I & \Delta \\ -\Delta^* & -h_0 + \lambda I \end{pmatrix}$$

$$\Gamma_{\mu\nu} = \sum_{\mu', \nu'} \tilde{V}_{\mu\mu'\nu\nu'} \rho_{\nu'\mu'}$$

$$\Delta_{\mu\nu} = \frac{1}{2} \sum_{\mu', \nu'} \tilde{V}_{\mu\nu\mu'\nu'} \kappa_{\mu'\nu'}$$

## Skyrme effective nucleon-nucleon interaction

- ▶ Momentum space representation

$$\langle \mathbf{k} | V | \mathbf{k}' \rangle = t_0(1 + x_0 P_\sigma) + \frac{1}{2} t_1 (\mathbf{k}^2 + \mathbf{k}'^2) + t_2 \mathbf{k} \mathbf{k}' + i \mathbf{W}_0 (\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot (\mathbf{k} \times \mathbf{k}') + v_{123}$$

- ▶ Kernel of an integral operator  $\langle f(1, 2) | V_S | g(1, 2) \rangle$

$$\begin{aligned} V_S = & t_0(1 + x_0 P_\sigma) \delta(\mathbf{r}_1 - \mathbf{r}_2) + \frac{1}{2} t_1 (\mathbf{k}^2 \delta(\mathbf{r}_1 - \mathbf{r}_2) + \delta(\mathbf{r}_1 - \mathbf{r}_2) \mathbf{k}'^2) + t_2 \mathbf{k} \delta(\mathbf{r}_1 - \mathbf{r}_2) \mathbf{k}' + \\ & + i \mathbf{W}_0 (\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot (\mathbf{k} \times \delta(\mathbf{r}_1 - \mathbf{r}_2) \mathbf{k}') + \\ & + \tilde{t}_3 \delta(\mathbf{r}_1 - \mathbf{r}_2) \delta(\mathbf{r}_2 - \mathbf{r}_3) \longrightarrow \frac{1}{6} t_3 (1 + P_\sigma) \delta(\mathbf{r}_1 - \mathbf{r}_2) \rho^\alpha((\mathbf{r}_1 + \mathbf{r}_2)/2) \end{aligned}$$

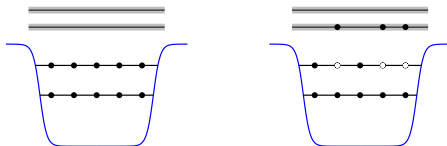
$$\mathbf{k}' = \frac{1}{2i} (\vec{\nabla}_1 - \vec{\nabla}_2), \quad \mathbf{k} = -\frac{1}{2i} (\vec{\nabla}_1 - \vec{\nabla}_2),$$

plus Coulomb interaction for protons

- ▶ Several variants ( $t_0, t_1, t_2, t_3, x_0, \mathbf{W}_0$ ): SIII, SLy4-6, SkM\*, UNEDF, ....  
Parameters fixed by fitting masses, radii, etc of some chosen nuclei

## Mean field description of collective phenomena

Giant resonances, Random Phase Approximation (RPA)



Low energy collective excitations, Adiabatic Time Dependent Hartree-Fock-Bogolyubov



## Mean field description of collective phenomena, cont.

- ▶ Set of product states depending on collective variables,  
HFB calculations with constraints

$$\delta \langle \Psi | H_{\text{micr}} | \Psi \rangle = 0, \quad \langle \Psi | Q_{20} | \Psi \rangle = q_{20}, \quad \langle \Psi | Q_{22} | \Psi \rangle = q_{22}$$

- ▶ Adiabatic Time Dependent Hartree-Fock-Bogolyubov

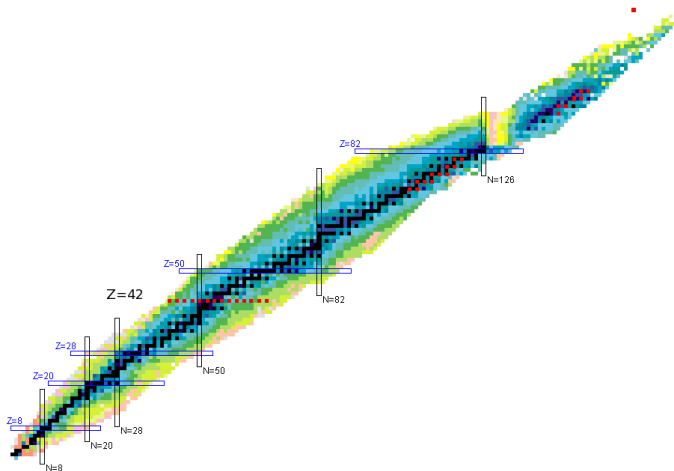


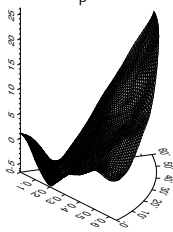
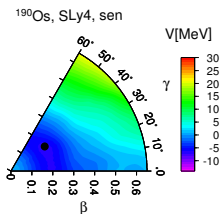
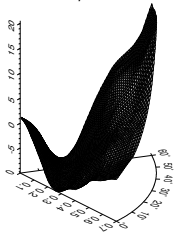
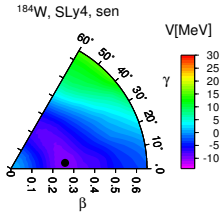
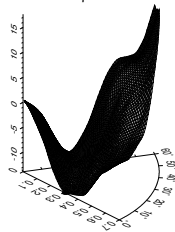
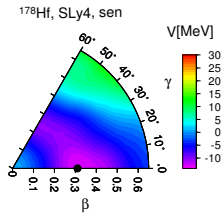
- ▶ Schrödinger-type equation in the collective space

In our case Bohr Hamiltonian with  $B_{\beta\beta}, B_{\beta\gamma}, B_{\gamma\gamma}, B_k, V$  calculated from the mean field theory

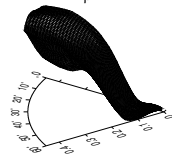
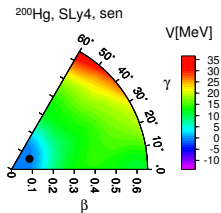
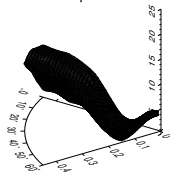
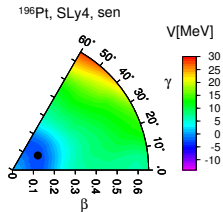
## Some applications

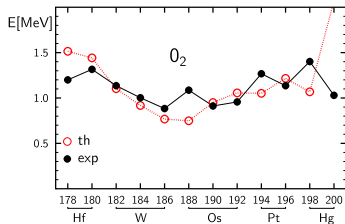
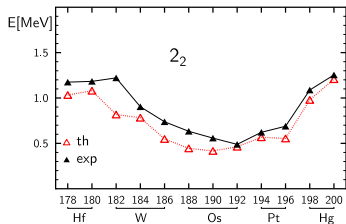
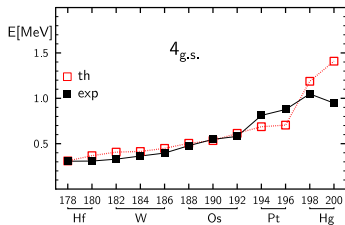
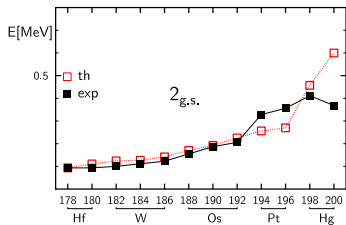
1. From strongly deformed Hf isotopes to almost spherical Hg
2.  $^{84-110}\text{Mo}$  isotopes
3. Actinides

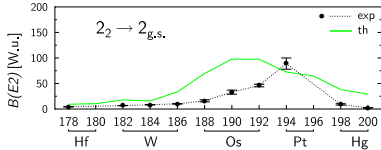
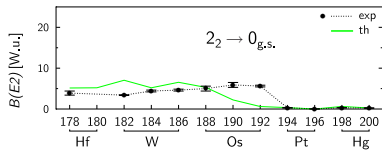
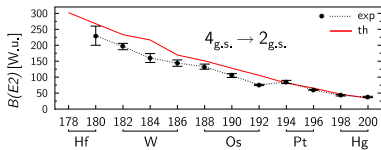
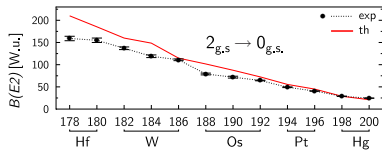


$^{178}\text{Hf}$  —  $^{200}\text{Hg}$ . Collective potential energy

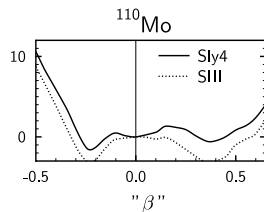
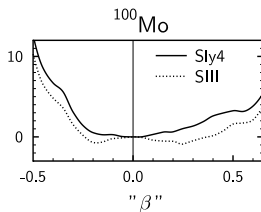
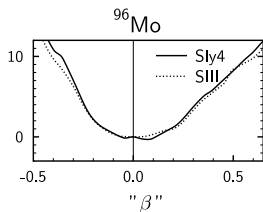


$^{178}\text{Hf}$  —  $^{200}\text{Hg}$ . Collective potential energy

$^{178}\text{Hf} - ^{200}\text{Hg}$ . Energy levels

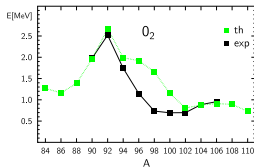
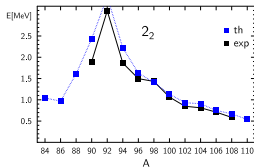
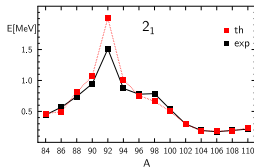
$^{178}\text{Hf} - ^{200}\text{Hg}$ . E2 transition probabilities

## Mo isotopes. Potential energy

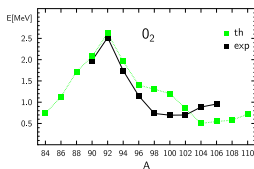
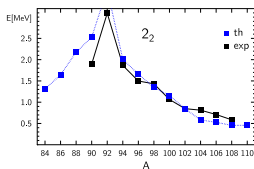
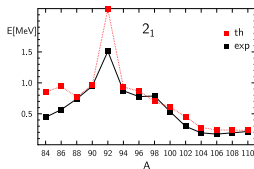


## Mo isotopes. Energy levels

## SIII

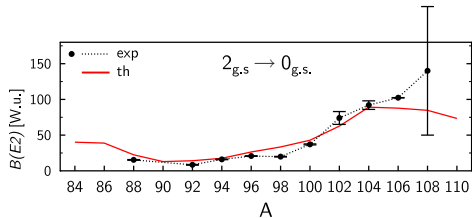


## SLy4

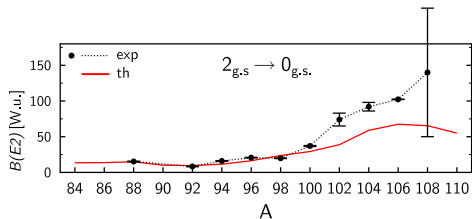


Mo isotopes. E2 transitions  $2_1 \rightarrow 0_{g.s.}$ 

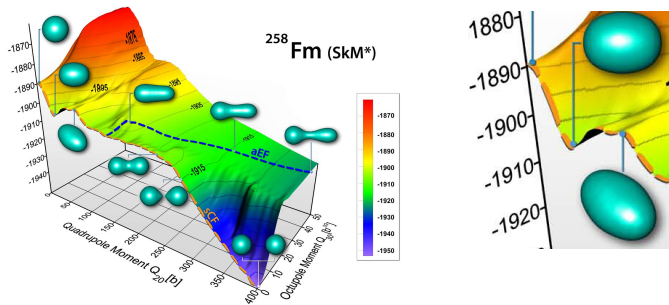
SIII



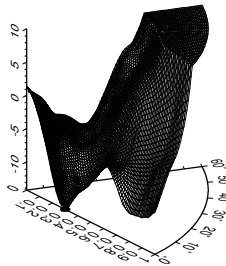
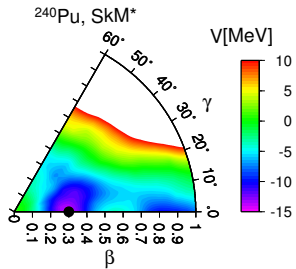
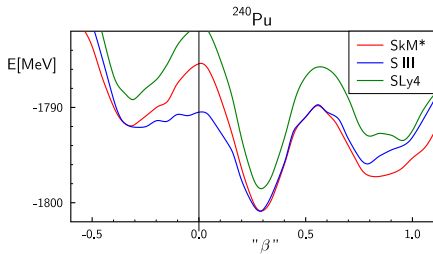
SLy4



## Actinides



A. Staszczak, A. Baran, J. Dobaczewski, W. Nazarewicz, Phys.Rev. C **80**, 014309 (2009)

$^{240}\text{Pu}$  potential energy



## Some remarks

- ▶ Limitations of the theory
- ▶ Coupling collective and one-particle modes
- ▶ What about odd and odd-odd nuclei?